

## IN THE UNITED STATES PATENT &amp; TRADEMARK OFFICE

APPLICANT: Baumann ( GROUP ART UNIT:  
)  
SERIAL NO.: Not Assigned ( Date of Deposit:  
)  
FILED: Concurrently Herewith ( Tina M. Kisejka January 11, 2004  
) Tina M. Kisejka Date  
FOR: DEVICE FOR SEPARATING (   
IMPURITIES FROM THE )  
LUBRICATING OIL OF AN (   
INTERNAL COMBUSTION ENGINE )  
(   
EXAMINER: not yet assigned )  
(   
CASE NO.: 09796503-0160 )  
(

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Applicant draws the Examiner's attention to the documents listed on the enclosed Form 1449 and request that the Examiner consider them and make them of record in this application. The listed documents were cited in a communication from the International Searching Authority in the corresponding PCT application (PCT/EP2003/001412).

The copies of the foreign references cited therein are enclosed herewith.

This statement is made in compliance with Applicant's duty of disclosure and is not an admission of any kind, and specifically is not an admission that the documents cited herein are prior art as to the invention claimed.

This Information Disclosure Statement is being filed before the mailing date of a first Office Action on the merits under 37 CFR § 1.97(b). Accordingly, no fee is due

Respectfully submitted,

SONNENSCHN NATH & ROSENTHAL LLP

SONNENSCHN NATH  
& ROSENTHAL LLP  
P.O. Box 061080  
Wacker Drive Station  
Sears Tower  
Chicago, Illinois 60606-1080  
Telephone: (312) 876-2886

By: Kevin W. Guynn  
Kevin W. Guynn  
Registration No. 29,927

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: Dieter Baumann

ATTY'S DOCKET: 09796503-0160

SERIAL NO.: Filed Concurrently Herewith

FILING DATE: Filed Concurrently Herewith

EXAMINER:

**INFORMATION DISCLOSURE STATEMENT TRANSMITTAL LETTER**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

S I R:

Submitted herewith is an Information Disclosure Statement for consideration in the above-identified application. This Information Disclosure Statement is submitted.

- X   Within 3 months (1) of filing date of a national application; (2) of date of entry of the national stage as set forth in 37 CFR §1.491 in an international application; or (3) before the mailing date of a first Office Action on the merits, whichever occurs last. (No fee is required.)
- After the mailing date of a first Office Action but before (1) mailing of a final action under 37 CFR §1.113; or (2) mailing of a notice of allowance under §1.311, whichever occurs first.
- Payment for the fee set forth in 37 CFR §1.17(p) accompanies this submission by way of **American Express Account No. 378571697401002** in the amount of \$\_\_\_\_\_. Form 2038 is attached for that purpose; or
- The certification specified in 37 CFR §1.97(e) is made below. (No fee is required.)
- After the mailing of (1) a final action under 37 CFR §1.113; or (2) a notice of allowance under 37 CFR §1.311 whichever occurs first, but before payment of the issue. The certification specified in 37 CFR §1.97(e) is made below. The Commissioner hereby is petitioned to consider the Information Disclosure Statement accompanying this submission. Payment for the Petition fee set forth in 37 CFR §1.17(i)(1) accompanies this submission.
- The undersigned counsel for applicant(s) hereby certifies each item of information identified in the Information Disclosure Statement was cited in a communication from a foreign Patent Office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.
- The undersigned counsel for applicant(s) hereby certifies that no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign Patent Office in a counterpart foreign application, or to the knowledge of the undersigned, after making reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of the statement.
- A check in the amount of \$\_\_\_\_\_ to cover any required fee is enclosed.

X The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Account No. 19-3140. *A duplicate copy of this sheet is enclosed for this purpose.*

Very respectfully,

SONNENSCHN NATH & ROSENTHAL LLP

By Kevin W. Guynn, Reg. No. 29,927  
Kevin W. Guynn  
Sears Tower - Suite 8000  
P.O. Box #061080 Wacker Drive Station  
Chicago, IL 60606  
PTO Customer Account No. 26263

When phoning re this application,  
please call (312) 876-2886

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to the Commissioner for Patents, PCT, P.O. Box 1450, Alexandria, VA 22313-1450 on 1-11-2005.

John M. Kessel

11557843

Sheet 1 of 1

P.T.O. 1449		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE LIST OF OTHER ITEMS CITED BY APPLICANT (use several sheets if necessary)				Docket No. 09796503-0160 SS. No. 10/521188 Filed Concurrently Herewith	
						Applicant Dieter Baumann	
						Filing Date Filing Herewith	
						Group Art Unit	
<b>U.S. PATENT DOCUMENTS</b>							
Examiner's Initials		Document Number	Date	Name	Class	Subclass	Filing Date If appropriate
	AA	5,114,572	05/19/92				
	AB	5,603,829	02/18/97				
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						
<b>FOREIGN PATENT DOCUMENTS</b>							
		Document Number	Date	Country	Class	Subclass	Translation
							Yes    No
	AL	WO 90 02597A	03/22/90	PCT			
	AM	195 23 239 A	01/04/96	Germany			
	AN	296 10 290	8/22/96	Germany			
	AO	43 06 431 C1	07/28/94	Germany			
	AP						
	AQ						
	AR						
	AS						
<b>OTHER ITEMS (Including Author, Title, Date, Pertinent Pages, Etc.)</b>							
	AT						
	AU						
	AV						
	AW						
Examiner			Date Considered				
*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							